

# Alignment-Uniformity aware Representation Learning for Zero-shot Video Classification

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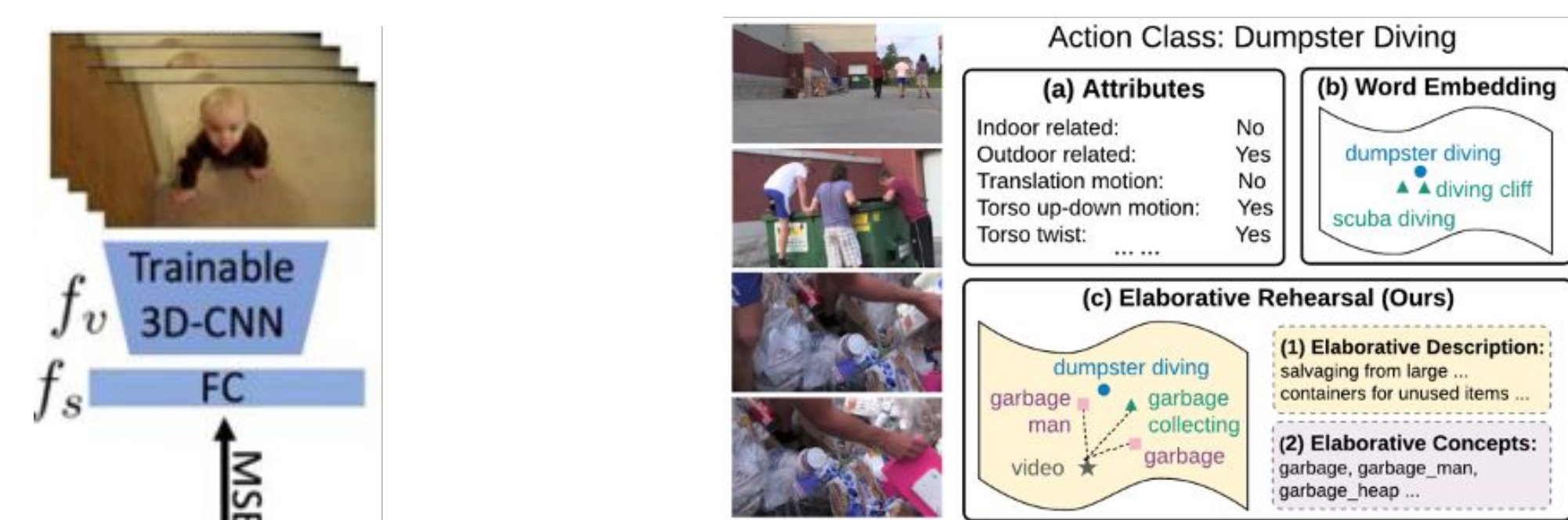
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## Introduction

### SoTA zero-shot video classification (ZSVC)



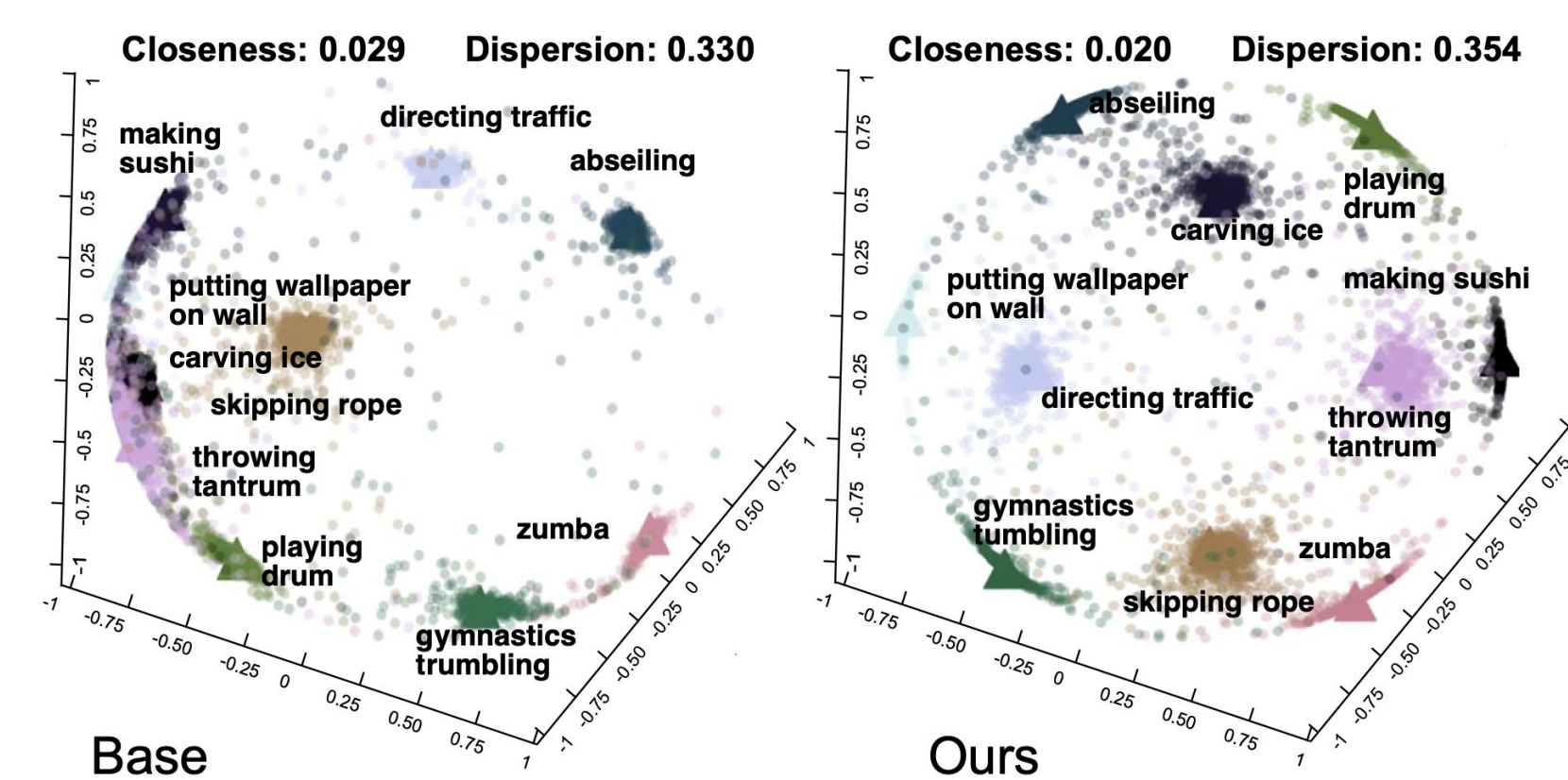
ER: Expand class names by hand-crafted annotations [r2]

- ✓ Both E2E and ER learn a unified visual and semantic representation.
- ✗ But, only alignment property of the representation is considered while uniformity that contributes to generalization is neglected.
- ✓ ER tries to learn unseen classes by expanding existing class names.
- ✗ But, amount of extra annotations are required.

E2E: Align visual and semantics features [r1].

[r1] Rethinking zero-shot video classification: End-to-end training for realistic applications, CVPR 2020.  
[r2] Elaborative rehearsal for zero-shot action recognition, ICCV 2021.

### Contributions

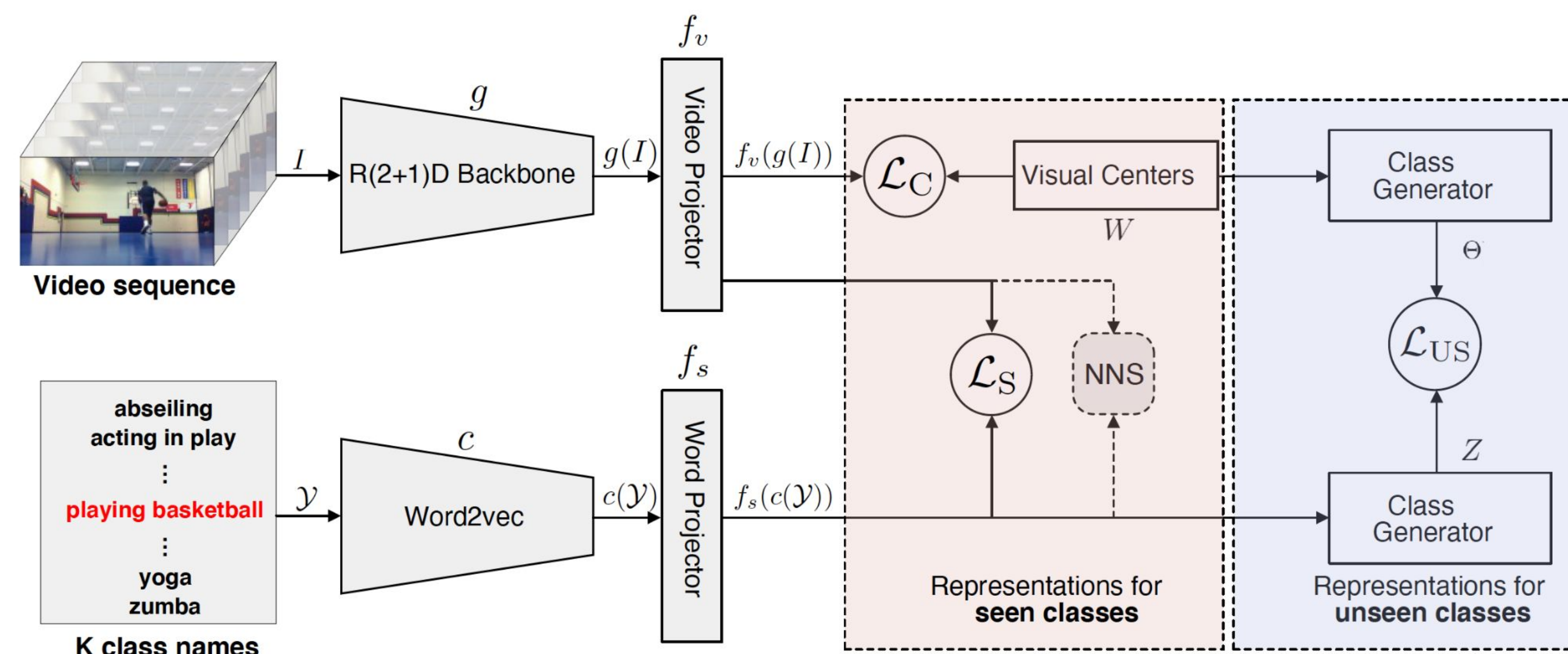


The unified representations of visual and semantics.

- (1) Propose a unified visual and semantic representation awareness of alignment and uniformity jointly.
- (2) Explicitly generate synthetic "unseen" classes via our class generator.
- (3) Present closeness and dispersion to quantify alignment and uniformity, serving as new measurements of model generalizability.
- (4) Achieve state-of-the-art performance in an end-to-end manner.

## Alignment-Uniformity aware Representation Learning (AURL)

### AURL architecture



### Alignment-uniformity aware loss to regularize the representations

- Supervised contrastive loss enables alignment and uniformity properties.

$$\mathcal{L}^{sup} = -\log \left[ \frac{\exp[\lambda \text{sim}(v_{y_i}, s_{y_i})]}{\sum_{y_j \in \mathcal{Y}} \exp[\lambda \text{sim}(v_{y_i}, s_{y_j})]} \right] = \lambda \text{SP}_\lambda \left[ \underbrace{-\text{sim}(v_{y_i}, s_{y_i})}_{\text{alignment}} + \frac{1}{\lambda} \underbrace{\text{LSE}(\lambda \text{sim}(v_{y_i}, s_{y_j})_{y_j \in \mathcal{Y} \setminus \{y_i\}})}_{\text{uniformity}} \right],$$

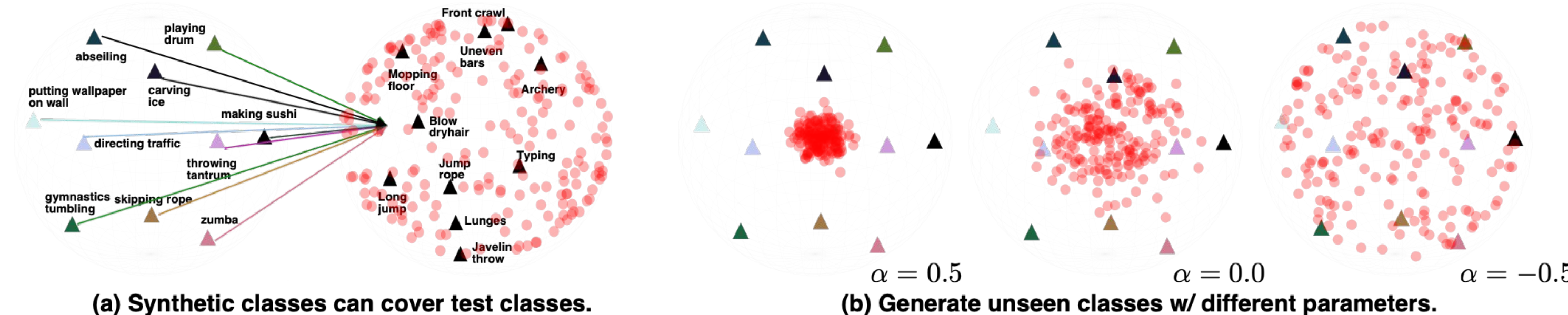
$$\text{where, } \text{SP}_\lambda(x) = \frac{1}{\lambda} \log(1 + \exp(\lambda x)), \quad \text{LSE}(x) = \log \left( \sum_{x \in \mathcal{X}} \exp(x) \right).$$

- Apply the supervised contrastive loss for seen and synthetic unseen classes

$$\mathcal{L}_{\text{contrast}} = \mathcal{L}_S + \mathcal{L}_{US}$$

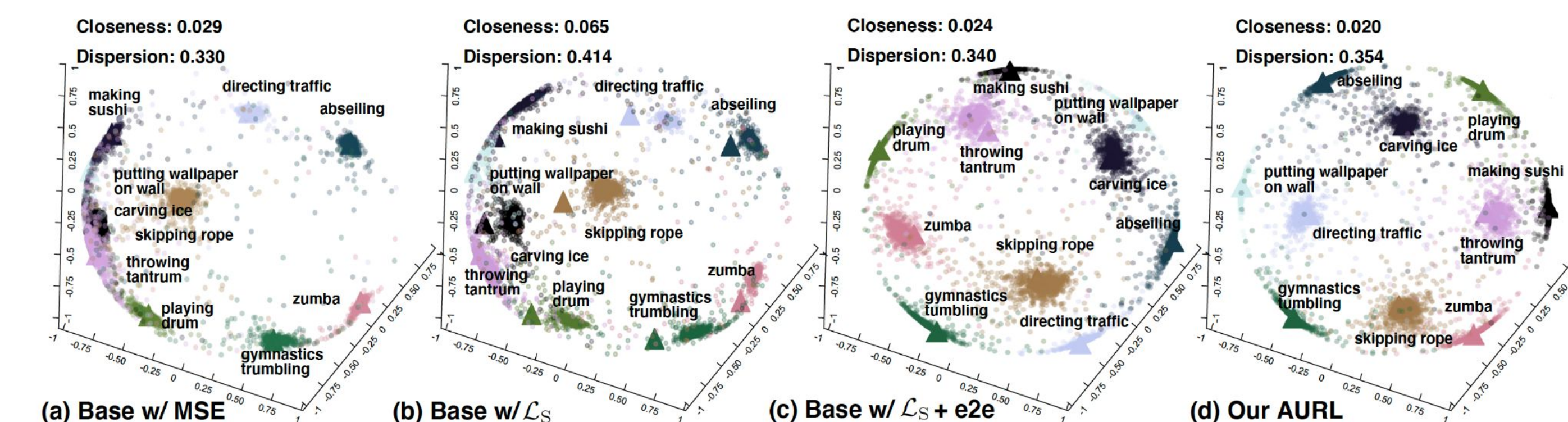
$$= -\log \left[ \frac{\exp[\lambda \cos(v_{y_i}, s_{y_i})]}{\sum_{y_j \in \mathcal{Y}} \exp[\lambda \cos(v_{y_i}, s_{y_j})]} \right] + \frac{1}{K_u} \sum_{u_i \in \mathcal{U}} -\log \left[ \frac{\exp[\lambda \cos(\Theta_{u_i}, Z_{u_i})]}{\sum_{u_j \in \mathcal{U}} \exp[\lambda \cos(\Theta_{u_i}, Z_{u_j})]} \right].$$

- Class generator to synthesize unseen classes.



## Experiments

### Ablations w/ crucial modules



| Method                               | $\mathcal{L}_S$ | $\mathcal{L}_{US}$ | $\mathcal{L}_{US} + \text{CG}$ | Clo-se. | Dis-per. | UCF top-1 | HMDB top-1 |
|--------------------------------------|-----------------|--------------------|--------------------------------|---------|----------|-----------|------------|
| Base w/ MSE                          |                 |                    |                                | 0.30    | 0.09     | 35.1      | 21.3       |
| Base w/ $\mathcal{L}_S$              | ✓               |                    |                                | 0.45    | 0.29     | 40.3      | 24.2       |
| Base w/ $\mathcal{L}_S + \text{e2e}$ | ✓               | ✓                  |                                | 0.30    | 0.29     | 43.2      | 26.2       |
| AURL (ours)                          | ✓               | ✓                  | ✓                              | 0.29    | 0.32     | 44.4      | 27.4       |
| AURL w/o CG.                         | ✓               | ✓                  | ✓                              | 0.33    | 0.32     | 43.7      | 25.8       |

### Comparisons with SoTA alternatives

| Method       | Test splits | Train dataset | UCF top-1 | Train dataset | HMDB top-1 |
|--------------|-------------|---------------|-----------|---------------|------------|
| SoTA* [3]    | 1           | Kinetics      | 37.6      | Kinetics      | 26.9       |
| AURL*        | 1           | Kinetics      | 46.8      | Kinetics      | 31.7       |
| Obj2act [16] | 3           | -             | 30.3      | -             | 15.6       |
| SAOE [27]    | 3           | -             | 32.8      | -             | -          |
| OPCL [13]    | 3           | -             | 36.3      | -             | -          |
| MUFI [35]    | 3           | Kinetics+     | 56.3      | Kinetics+     | 31.0       |
| AURL         | 3           | Kinetics      | 60.9      | Kinetics      | 40.4       |
| TARN [2]     | 30          | UCF           | 23.2      | HMDB          | 19.5       |
| Act2Vec [14] | -           | UCF           | 22.1      | HMDB          | 23.5       |
| SAOE [27]    | 10          | -             | 40.4      | -             | -          |
| PSGNN [13]   | 50          | UCF           | 43.0      | HMDB          | 32.6       |
| OPCL [13]    | 10          | -             | 47.3      | -             | -          |
| SoTA* [3]    | 10          | Kinetics      | 48.0      | Kinetics      | 32.7       |
| DASZL [19]   | 10          | -             | 48.9      | -             | -          |
| ER [6]       | 50          | UCF           | 51.8      | HMDB          | 35.3       |
| AURL*        | 10          | Kinetics      | 58.0      | Kinetics      | 39.0       |

### Takeaways

1. Uniformity expands borders of representations as much as possible, and has been validated its effectiveness in model generalizability of ZSVC.
2. Alignment and uniformity jointly benefit ZSVC.
3. Our code is available at <https://github.com/ShipuLoveMili/CVPR2022-AURL>

- Closeness and dispersion score to quantify the alignment and uniformity.

$$\text{Closeness} = \frac{1}{K} \sum_{y_i \in \mathcal{Y}} \left[ \frac{1}{N_{y_i}} \sum_{n=1}^{N_{y_i}} (1 - \cos(v_{y_i}^n, s_{y_i}^n)) \right] \quad \text{Dispersion} = \frac{1}{K} \sum_{y_i \in \mathcal{Y}} \min_{y_k \in \mathcal{Y} \setminus \{y_i\}} (1 - \cos(\bar{v}_{y_i}, \bar{v}_{y_k})).$$

- Inference with a strict label requirement that excludes highly overlapped classes.

$$\text{argmax}_{y^t \in \mathcal{Y}^t} \cos(f_v(g(I^t)), s_{y^t}) \quad \forall y \in \mathcal{Y}, \min_{y^t \in \mathcal{Y}^t} (1 - \cos(c_y, c_{y^t})) > \tau$$